

# Performance Assessments of Nat. Spatial Data Infrastructures

#### Joep Crompvoets Public Management Institute, KU Leuven, Belgium Melbourne University, Australia EuroSDR





Introduction to SDI assessment

SDI assessment approaches worldwide (SDI-Readiness, Clearinghouse Suitability, Organisational, State of Play)

Concluding remarks





## Introduction to SDI assessment

SDI assessment approaches worldwide (SDI-Readiness, Clearinghouse Suitability, Organisational, State of Play)

Concluding remarks



## Introduction





### Which computer is better?

### Which car is better?





## Assessing performance and impact is done everywhere

 Government / Private sector / ... / Individual
 International / National / sub-national / ... / Organisations

## Different types and different goals

E.g. Cost/benefit and ROI

E.g. Impact assessment

## >Impact assessments

- Impact assessment is the process of identifying the future consequences of a current or proposed action
- Ex ante / ex post
  - Estimating the impact of a measure before it is implemented
  - Measuring the impact (result) after the measure has been taken
- In almost all sectors of government
  - Social impact analysis, Regulatory impact assessment, Environmental impact assessment

## Performance assessment and management

- Performance relates to output and outcomes of processes
  - At micro, meso and macro levels
- Performance assessment is the bundle of activities aimed at obtaining information on performance
  - $\circ~$  Mostly quantitative, more and more qualitative
- Performance management aims to incorporate and use performance information in the decision-making proces

o To learn, to steer & control, to give account

Van Dooren, W., G. Bouckaert and J. Halligan, 2010. Performance Management in the Public Sector. Routledge, London



### Which SDI is better?



## Which SDI is better?



## >Why SDI assessments?

## There might be several reasons

- Motivate budgets
- Describe a specific status
- o Evaluate certain choices
- Because of legislation
- Highlight good practices

0 ...

## In general several aspects are covered

- Measure what exists
- $\circ\,$  Measure the usage, usability
- $\circ\,$  Measure the impacts, benefits

## Criteria for SDI assessment

- Relevant
- Efficient
- Effective
- Satisfactory
- Sustainable (social, economic, environmental)
- Compliant
- Coherent
- Well used





## > SDI complexity

- Multi-objectives
- Multi-stakeholders
- Multi-definitions
- Multi-understandings
- Multi-criteria
- Multi-scale
- Multi-sectors
- Multi-purposes to assess

## **Principles for assessment**

- Serve multiple purposes of assessment
- Use multiple assessment methods and approaches
- Do not oversimplify
- Incorporate different views/understandings
- Maintain Flexibility
- Reduce bias
- Provide the full picture





Introduction to SDI assessment

SDI assessment approaches worldwide (SDI-Readiness, Clearinghouse Suitability, Organisational, State of Play)

Concluding remarks



## **SDI** assessment

Previous SDI assessment – Research lan Masser (1999) Rajabifard et. al. (2003): typology of SDI Steudler et al. (2003): Evaluation and Performance indicators Van Orshoven & Vandenbroucke (2003 ...): INSPIRE State of Play Kok & Van Loenen (2004): Organisational/Institutional Delgado et al. (2005): SDI-Readiness Rodriguez Pabon (2005): Theoretical framework to assess SDI Crompvoets (2006): Clearinghouse Suitability Index Lance et al. (2006): SDI control evaluation Giff (2006): Performance based management Grus et al. (2007, 2008): Complex Adaptive Systems > Multi-view framework

Different assessment orientations, different approaches, different sampling methods, different levels, different definitions



## **Relatively new field**



Book edited by Crompvoets, Delgado, Rajabifard and van Loenen, 2008, University Press Melbourne

## Previous work / research

- Readiness Index
- Clearinghouse Suitability Index
- Organisational
- INSPIRE & NSDI State of Play

## >Readiness index - Delgado Fernández

### > Objective:

- Assessment of pre-existing infrastructures (WWW and communication) and the analysis of other social, organizational and culture factors
- > Method:
  - Through a survey that only authorized experts of a country are able to complete
  - Use of indices based on a fuzzy-based model, supported by a new multivalent logic system called Compensatory Logic
- Result:
  - SDI readiness index: Degree to which a country is prepared to deliver its geographical information in a community



## **C**roatia SDI-Readiness factors and index

Factor	Value
Organisation	75
Information	42
Human resources	68
Technology	67
Financial resources	37
SDI-Readiness index	55

Good pre-conditions to undertake SDI

+++ Organisation + Human Reources +Technology

+ / -Financial resources + Metadata



Slovenia: 58 Macedonia: 49

## > Clearinghouse suitability / Geoportal index – Crompvoets

- Objective:
  - To have a measure of the quality and performance of a national clearinghouse as basic building block of an SDI
- Method:
  - A survey collects information on 15 characteristics regarding the clearinghouses
- Result:
  - Indices supporting clearinghouse managers in developing successful strategies to implement their national clearinghouses

#### Clearinghouse / Geo-portal

=

An electronic facility for searching, viewing, transferring, ordering, advertising and disseminating spatial data from numerous sources via the Internet

## **Geoportal as single entrance point**

geotdata.go	ov Line	our One Stop for Federal, State & Local Geographic Data	<u>Loa in Sian u</u>
Communities Help	Search geodata.gov	Help	Quick Start - 🗆
Special Interest • Earth Information Exchange • Fire Mapping • Geographic Names • Historical Collections	What: (e.g. River)	Where: (e.g. Harrison, NY) Search Help - D	Welcome to geodata.gov Your One Stop for Finding and Using Geographic Data geodata.gov will help you:
Homeland Security     Hurricanes     Indian Ocean Disaster     Lewis and Clark     Local Governments     Recreation and tourism     The National Atlas	Administrative and Po Census 2008 Key Resources New 2009 Census Tiger/Line	litical Boundaries	<ul> <li>Find Data or Map Services</li> <li>Make a Map</li> <li>Browse Community Information</li> <li>Cooperate on Data Acquisitions</li> <li>Publish your Data and Map</li> </ul>
• The National Map Data Categories • Administrative Boundaries • Agriculture	The downloadable shape files are nov http://www.census.gov/geo/www/tige	w discoverable through the geodata.gov catalog search interface and by 1 er/tgrshp2009/tgrshp2009.html People B	Save searches, maps, and your favorite geography to re-use later. A simple registration process opens up these personalization options.
Atmosphere     Biology     Business     Cadastral     Demographic     Elevation     Favironeeeeeeeeeeeeeeeeeeeeeeeeeeeeeeeeeeee		2009 TIGER/Line <sup>®</sup> Shapefiles	We invite you to explore or check out our <u>Quick Start Guide</u> to learn more about using the main features of geodata.gov.
• <u>Geology</u> • <u>Health</u> • Imagery and Basemans	TIGER Navigation	What are the TIGER/Line Shapefiles?  • Extracts containing geographic and cartographic information from the Census E	
Inland Water Locations Oceans Transportation	DOWNLOAD SHAPEPILES TECHNICAL DOCUMENTATION USER NOTES ORGANIZATION OF FILES	Geographic Encoding and Referencing) database • The files provide the digital map base for a Geographic Information System or m • They include spatial data for geographic features such as roads, railroads, riven • The geographic entity codes needed to link the Census Bureau's demographic i	
• <u>ounnes</u>	PREVIOUS VERSIONS 2008 TIGER/Line SHAPERILES 2007 TIGER/Line SHAPERILES	Shapefiles do not contain any demographic data: it must be downloaded separa Metadata in Extensible Markup Language (XML) format is included with each co	

## **Geo**Connections

#### Mapping the future together online

Home | Search | Contact Us | Help | Français

HOME > Public Safety & Security | Public Health | Aboriginal Communities | Environment | Developers

#### Home

About GenConnections

User Communities About CGDI Opportunities

News & Media

Subscribe

Resource Library

My Subscription

What are you

Send to a colleague

looking for

The Discovery Portal is

your gateway for:

Topographic Data

Aerial Photographs

Satellite imagery

Organizations

Other Sources:

(GeoGratis)

Maps (Atlas of Canada)

Free Thematic Data

Free Base Lavers of

Data (GeoBase)

Services

Thematic Data

Cide die th

Sentimedes Reading

Entire Site

GeoConnections supports projects that enable decision-makers to use location-based information to benefit public health, safety and security, the environment, and Aboriginal communities.

- About CGDI
  - · Data
  - Success Stories

#### FOCUS ON:

#### Earth Observation essential for geohazard mitigation

- @

More than 250 scientists from around the world gathered for a five-day workshop at ESA's Earth Observation Centre in Frascati

#### 5 more

Upcoming Events : > more

#### RESOURCES & TOOLS

- Key Documents
- Annual Report
- Glossary & Acronyms
- General FAQs

### Welcome to GeoConnections

#### Topics of importance:

- Opportunities
- Partners Projects



A new section is now available on the GEOIDE website: the GEOIDE Market section is opened to everyone (member having the latest news from the geospatial industry.



#### Privacy Commissioner Seeks Information about Street Level Photography Available Online

While satellite and aerial photo images have been available for many years, it is only recently that technology has allowed for this imagery to be shared freely over the internet.

Success Story



#### GEOIDE Market Intelligence Portal

It's the dream of every high-tech start-up: develop innovative technology, sell your company to a well-established suitor, and

provide your innovation to thousands or

even millions of people around the globe.

GeoTango aligns with Microsoft to

access worldwide market



Intelligence Portal (English only). This and non member) who is interested of



#### News Flash:

is mare

#### USEFUL TIPS FOR

- Decision-makers
- Data suppliers Technology suppliers
- Developers
- New Users



البنية التحتية للبيانات المكانية لإمارة أبوظبي Abu Dhabi <mark>S</mark>patial Data Infrastructure

#### Homepage

1000	Arrest lands	the Distances
 and the state of	1.000	the state of the

Members Only

About AD-SDI

- Abu Dhabi GIS Day
- News & Events
- Downloads
- Links
- FAQs





مرحباً بكم في برنامج Welcome to the تنسيق البيانات المكانية Abu Dhabi Spatial Data لإمارة أبوطيبي



Sitemap | Contact Us | Help | Enter search term عربي

"Geography is where we live, work, play and learn, and geographically based information gives us the means to better understand the world around us, plan effectively and comprehensively make informed decisions, and carry out the results of those decisions in a coordinated and efficient way."

Rashed Al Mansoori, Director General, Abu Dhabi Systems and Information Centre (ADSIC)

The Abu Dhabi Spatial Data Infrastructure (AD-SDI) is a programme of the Government of Abu Dhabi, administered within the Abu Dhabi Systems and Information Centre (ADSIC) egovernment programme to facilitate the sharing of geospatial data among government agencies and other stakeholders.

Now in Stage 2 of the project, AD-SDI has come a long way since its launch in June 2007. With many new stakeholders contributing to the development of the programme, this vital egovernment service is moving ahead swiftly with a number upcoming events including the GIS Day Abu Dhabi 2009 in November. Below are some of the key milestones reached in the project:

- Technical Committee established
- Working Groups and Spatial Interest Groups established
- · Strategic Plan launched
- Geospatial Portal and Data Clearinghouse established
- · Collected and consolidated existing fundamental data
- Aligned existing data collection projects
- Identified stakeholder capacity building needs















### Libert - Factorial REPUBLIQUE PRANCAISE



FRIENIESID

**API** Géoportail

Les données Géoportail

sur votre site



#### 20/10/2010

#### Cartographier le littoral avec Litto3D

Le programme Litto3D consiste à produire un modèle numérique altimétrique continu terre-mer sur la frange littorale. Sa mise en œuvre fait appel à des procédés innovants et très spécifiques. API Gerganta

### Characteristics

- number of suppliers;
- monthly number of visitors;
- languages used;
- frequency of web updates;
- level of (meta)data accessibility;
- number of datasets;
- most recently produced dataset;
- availability of view services;
- number of alternatives for searching





#### No formal National Geoportal (Several projects for setting up one!!) Clearinghouse Suitability Index of SGA Geoportal (geoportal.dgu.hr): 56

#### SGA Geoportal -> Average

Slovenia: 68



### **Croatia SGA Geoportal Characteristics**

Clearinghouse Characteristic	Class 1	Class 1 weight*	Class 2	Class 2 weight	Class 3	Class 3 weight
Number of suppliers	≻ 16	0.08	2 - 16	0.04	1	0.00
Monthly number of visitors	> 4000	0.02	150 – 4000	0.01	< 150	0.00
Number of web references	≻ 250	0.04	20 – 250	0.02	< 20	0.00
Languages used	Multilingual including the national language	0.06	Monolingual using the national language	0.03 Monolingual us no nationa language		0.00
Frequency of web updates (in days)	< 4	0.10	4 – 365	0.05	> 365	0.00
Level of (meta) data accessibility	Data + standardised metadata	0.10	Standardised metadata	0.05	Non-standardised metadata	0.00
Number of datasets	> 1500	0.08	50 – 1500	0.04	0.04 < 50	
Most recently produced dataset (in months)	< 2	0.02	2 - 60	0.01 > 60		0.00
Decentralised network architect.	Yes	0.08	Hybrid	0.04	No	0.00
Availability of view services	Yes	0.10	Prototype	0.05	No	0.00
Number of mechanisms (alternatives) for searching	≥ 5	0.18	2 - 4	0.09	1	0.00
Use of maps for searching	Yes, by locating an area of interest	0.04	Yes, by clicking on an area with predefined boundaries	0.02 No		0.00
Registration-only access	No	0.02	Partly	0.01	Yes	0.00
Funding continuity	Continuously funded	0.01	Piecemeal funded	0.01	Never funded	0.00
Metadata-standard applied	ISO/FGDC/CEN	0.07	National	0.03	No standard	0.00

## **Organisational – Van Loenen**

Intention to identify, describe and compare the current status of the organizational aspects of the NSDI

#### Assessment of characteristics of institutional components:

- leadership
- vision
- communication channels
- self organising ability of sector
- Four stages of development
  - 1. Stand-alone
  - 2. Exchange
  - 3. Intermediary
  - 4. Network

### Organisational index of Croatia SDI: 75

Leadership

#### Vision

#### **Communication channels**

### Self organising ability of sector (No active problem solution)

50 70 80 0 10 20 30 40 60 90 100 50 Argentina ■75 Brazil 00 Canada ∎75 Chile 100 Colombia 75 Cuba 75 Denmark ∎75 Ecuador 50 Guyana 100 Jamaica 50 Malaysia ■75 Mexico 50 Nepal 75 Netherlands 75 Norway 50 Poland 50 Serbia ∎75 Spain 100 Sweden 50 Turkey 50 Uruguay 70 Average per sample

Slovenia: 75 Macedonia: 50

## **INSPIRE State of Play – European Commission/EuroSTAT**

### • Objective:

 To collect, structure and assess information regarding the status of NSDI development in 34 countries in Europe with the aim to support the INSPIRE implementation process

• Method:

- Based on a desktop study analysing (geo-)portals, documents, and input from experts from the different NSDI
- The information is structured and translated into 32 indicators regarding the building blocks of the SDI: organisational, legal & funding, metadata, data, services and standards aspects

#### • Result:

- Matrices with the indicators reveal the status of NSDI development and help determining the areas where specific measures could be taken (at EU or national level)
- Change matrices over time



## **INSPIRE State of Play in Europe (2011)**



Countries

## **INSPIRE State of Play in Europe (2003 - 2011)**



Countries

## **State-of-Play of Croatia SDI: 66**

Organisational	80	
Legal & Funding	50 (Standardised licenses, F	unding)
Data	75	
Metadata	66	Clavania CDI CO
Network Services	60	Slovenia SDI: 68
Standards	100	iviacedonia: 41

	0 1	10	20 3	30 4	0 5	0 6	50 7	3 0	30 90
Uruguay		- 		- - 					
Iurkey	-								
l aiwan	-								
Sweden	-								
Spain	-								
Siovenia	-								
Serbia									
Poland	-								
INOTWAY	-								
Nerneriands	-								
inepai	-								
IVIEXICO	-								
Mausia	-								
Malavaia	-								
Jamaica	-								
Guyana	-								
Ecuador									
Denmark									
Cuba									
Croatia									
Colombia	-								
Chile									
Canada	-								
Brazil	_								
Argentina	-								
, to a Dilabi	-								

- Overall trends
  - Countries at different speeds and with different approaches
    - This is not necessarily a problem
  - Potentially competing and overlapping goals for different SDI initiatives
    - INSPIRE <> NSDI, INSPIRE <> eGov
  - Changed leadership and involvement of major user communities
    - From NMA having the lead to shared responsibilities
  - Dynamic sub-national initiatives and emerging local developments
    - Challenge to integrate and streamline

Each country is an habitat on its own: hence there exists a country-specific culture of dealing/sharing data with public sector and other users

- Overall trends
  - The users and user communities of INSPIRE & the NSDI are not always very clear
    - They only start to emerge, if they emerge at all
  - Open data and open data policies, open source software, open standards
    - · What will be the impact
  - Fast technological developments
    - Linked data, cloud computing, sensor web, ...

The complex and pressing societal problems, together with the fast technological developments require a dynamic, flexible and effective development of INSPIRE / NSDI linked to and integrated with other initiatives

- Organisation
  - The `governance approach is different in different countries
    - Hierarchy <> network
    - Does not necessarily influence the results
  - Developments are mainly national
    - Good Practices for involving local levels
  - Overall maturity
    - Some countries are going fast: e.g. DE, ES, NO, CZ
    - Important progress for several countries: e.g. CH, EE, FR, IT, LT, RO, SE
    - Some are lagging behind

INSPIRE is a success story when it comes to stakeholder involvement. Also most countries succeeded in building their NSDI as a network of stakeholders

- Organisation
  - Shift towards more environmental agencies leading the NSDI
    - Organisational + legisation lead
    - Operational lead mostly in the hands of the NMAs
    - Shared responsibilities and division of tasks
  - Large majority of the countries involve users
    - Generally speaking the knowledge about the users, the usage of the infrastructure, and the user needs is limited
  - But involvement of non-public sector could improve
    - Non-profit + Private sectors only partially active
    - No structured involvement universities for education / research

- Legal issues and funding
  - Establishment of national legal framework in most cuntries
  - Limited number of implementation strategies and plans
    - Good Practice: UK location strategy
  - More and more countries take into account other legal aspects
    - PSI, privacy, IPR issues, ...
  - Framework for sharing between public authorities improved
  - Funding remains a concern

Practice of sharing is not really known. There has been overall improvement but still too many barriers exist.

- Spatial data
  - 2010: 13,796 data sets reported
    - There are many more existing data sets
  - The spatial coverage of the data is no problem
  - Interoperability of spatial data sets Just starting to implement the rules for data specification



### Number of datasets

- Metadata
  - Variable among the Member States
  - There is clear progress between 2009 2012
  - Conformity



Increase of metadata (2009 - 2012)

- Network services
  - Discovery of spatial data sets and services remains a concern
  - Viewing and downloading services
    - More and more are emerging and they are reported
  - Other services emerge as well
- Standardisation increased active involvement



% of reported datasets that can be viewed

Technological components are being developed at a fast pace

## **Overall assessment for Croatia SDI (av. 4 approaches): 63**

Slovenia: 67 & Macedonia: 47



90



Introduction to Performance Measurement and SDI assessment

SDI assessment approaches worldwide (SDI-Readiness, Clearinghouse Suitability, Organisational, State of Play)

Concluding remarks



## **Concluding remarks**

#### > Worldwide SDIs are implemented

- Producers as well as users are cooperating and coordinating their efforts aiming at the further development of SDIs
- Setting-up data geoportals to access spatial resources through web services
- Taking into account legal and other aspects such as IPR, security, privacy, ...

#### > Those SDIs are more and more intertwinned with eGov developments

#### There is a need to assess SDIs to understand

- · What exists and is available
- What is the use
- What is the impact on what we do and on society as a whole
- How well we are doing as compared to others

#### SDI assessment is a new field of research

- Different approaches and different purposes
- They help to better understand what is working well, and what not, and which areas need our attention

#### The experiences on SDI assessment elsewhere in the world can be helpful for the Croatia SDI

- The way stakeholders are involved
- The information that is collected and how this done
- The way the information is processed / used
- The usage of the performance measurement

### Croatia SDI

- Average SDI Readiness
- No formal Geoportal -> SGA Geoportal: Slightly below average
- Average SDI Organisation
- Average INSPIRE State of Play

(Nat. Geoportal, Metadata, Funding policy need attention)



# Questions?

